Multi-Tenant Patch-set Deployment Oracle FLEXCUBE Universal Banking Release 14.5.4.0.0 Part No. F52800-01 [February] [2022]

FINANCIAL SERVICES



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# 1. Overview of Applications in an Application Container

# 1.1 Managing Applications in an Application Container

In an application container, an application is the named, versioned set of application common objects stored in the application root. In this context, "application" means "application back-end." Application common objects include user accounts, tables, PL/SQL packages, and so on. An application can be shared with the application PDBs that belong to the application root.

On performing application changes, application PDBs can synchronize with the application in the application root. The application container also manages the versions of the application and the patches to the application:

- While installing an application, user must specify the application version number.
- While upgrading an application, user must specify the old application version number and the new application version number.

As the application evolves, the application container maintains all of the versions that are applied.

# **1.2 Application Maintenance**

Application maintenance refers to installing, uninstalling, upgrading, or patching an application.

Perform application installation, upgrade, and patching operations using an ALTER PLUGGABLE DATABASE APPLICATION statement.

The basic steps for application maintenance are as follows:

- 1. Log in to the application root.
- 2. Begin the operation with an ALTER PLUGGABLE DATABASE APPLICATION ... BEGIN statement in the application root.
- 3. Execute the application maintenance statements.
- 4. End the operation with an ALTER PLUGGABLE DATABASE APPLICATION ... END statement.

These statements can be issued in the same user session or in different user sessions.

# **1.2.1** Application Installation

An application installation is the initial creation of a master application definition. A typical installation creates user accounts, tables, and PL/SQL packages.

Refer Multi-Tenant\_Deployment.pdf for more details on the application installation.

# **1.2.2** Application Upgrade



An application upgrade is a major change to an installed application.

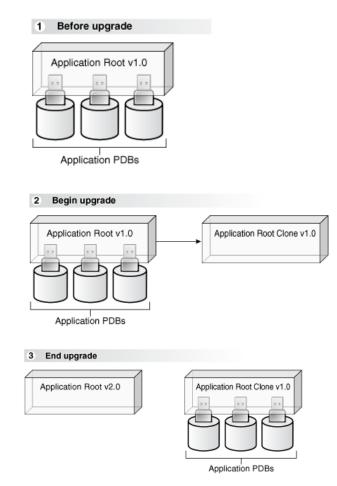
Typically, an upgrade changes the physical architecture of the application. For example, an upgrade might add new tables, and packages, or alter the definitions of existing objects.

To upgrade the application, specify the following in the ALTER PLUGGABLE DATABASE APPLICATION statement:

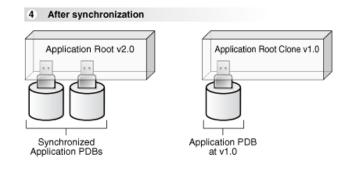
- Name of the application
- Old application version number
- New application version number

During an application upgrade, the application remains available. To make this availability possible, Oracle Database clones the application root.

The following figure gives an overview of the application upgrade process.







When an application is upgraded, Oracle Database automatically clones the application root.

During the upgrade, application PDBs point to the clone and applications continue to run during the upgrade. Application PDBs can perform DML on metadata-linked and tables and views and query data-linked tables.

After the upgrade, the application root clone remains and continues to support any application PDB that still uses the preupgrade version of the application in the clone.

Application PDBs that re synchronized are pointed to the upgraded application root. Application PDBs that are not synchronized might continue to use the clone.



# 2. Patch-set Application Steps

Multi entity application root/PDB based setup has to be available to perform18c database application upgrade for applying the patch-set. Refer **Multi-Tenant\_Deployment.docx** for the deployment and installation steps.

Patch-set can be applied by following below steps in sequential order, and detail of each steps explained as separate sections subsequently.

- Application Upgrade
- Synchronize application PDBs

# Patch-set Deployment Pre-requisites:

- ✓ Download the required patch-set zip file and unzip it in a local path.
- ✓ Verify whether the property files (fcubs.properties and env.properties) have the application root schema details where the application is available, if not update the approot schema details through installer (Refer FCUBS\_Property\_File\_Creation.docx for more details) and re-generate the files.
- ✓ Make sure to set the flag PATCHSET\_INSTALLATION to 'Y'.

# 2.1 Application Upgrade

# 2.1.1 Purpose

Major changes to an application constitute application upgrades. During the upgrade, Oracle Database automatically clones the application root and the application PDBs point to the clone.

Application upgrade can be performed in the application root only, and application PDBs applies the changes in the upgrade when they synchronize with the application.

# 2.1.2 Steps to be followed

Below steps to be followed to initiate application upgrade

- ✓ Start Application upgrade
- ✓ Compiling Incremental Units
- ✓ Recompilation of invalids
- ✓ End Application upgrade
- ✓ Start Application upgrade
- ✓ Application Root objects conversion for new objects
- ✓ Application Root objects conversion for existing objects
- ✓ Recompilation of invalids
- ✓ End Application upgrade

### 2.1.2.1 Start Application upgrade

An ALTER PLUGGABLE DATABASE APPLICATION statement has to be issued to upgrade an application in the application root.



Each upgrade must be associated with an application name, starting version number, and ending version number.

## **Pre-requisites:**

- The common user must have the DBA privilege, and the privilege must be commonly granted in the application root.
- The application root must be in open read/write.

Run the below script for initiating an application upgrade. This will initiate the application from current version to the next version (patch-set version).



01\_Start\_Upgrade.sql

Input sample for the script:

Spool Path	<< Any local path>>
Application next version	14.2.0.0.1

### 2.1.2.2 Compiling Incremental Units

Patch-set objects have to be loaded using bat file [E.g.: SMSDBCompileRun.bat, ROFCDBCompileRun.bat] by silent installer for respective product processer.

Compile the incremental SMS units using /INSTALLER/SOFT/SMSDBCompileRun.sh for UNIX installations or /INSTALLER/SOFT/SMSDBCompileRun.bat for Windows installations.

Compile the incremental FCUBS units using /INSTALLER/SOFT/ROFCDBCompileRun.sh for UNIX installations or /INSTALLER/SOFT/ROFCDBCompileRun.bat for Windows installations.

### 2.1.2.3 Recompilation of invalids

As the sharing property of most of the objects are modified other than NONE, recompilation of objects is not allowed outside an application.

Recompilation of objects will be initiated inside the application upgrade for sanity with zero invalids with the below script:



03\_Invalids\_Recompilation\_Inside\_Upgrade.sql

### 2.1.2.4 End Application upgrade

Application upgrade can be performed in the application root only and end of the upgrade is performed with an ALTER PLUGGABLE DATABASE APPLICATION END UPGRADE statement.

Run the below script for ending an application upgrade for patch-set.



04\_End\_Upgrade.sql



And run the invalid script by connecting to the common user in approot outside the upgrade.



04\_Invalids\_Recompilation\_Outside\_Upgrade.sql

### 2.1.2.5 Start Application upgrade

Run the below script for initiating another application upgrade for object conversion. This will initiate the application from current version to the next version (patch-set version).



05\_Start\_Upgrade.sql

Input sample for the script:

Spool Path	<< Any local path>>
Application next version	14.2.0.0.2

#### 2.1.2.6 Application Root objects conversion for new objects

As part of patch-set when there are new tables added which has to be converted as DL or when there is a new function id which is identified to be an approot function is provided, otherwise no conversion will happen as part of this step

Below script takes care of converting the new DL objects during patch-set based on the deployment model of the application during installation.



06\_New\_Object\_Conversion.sql

Input sample for the script:

Spool Path	<< Any local path>>
Approot User (In Caps)	HUBUSER (common user name)

### 2.1.2.7 Application Root objects conversion for existing objects

### Various Sharing types of objects during installation:

- A static table will hold the information of selected table sharing as Data link. Other tables will be treated as Meta Data Link
- Sharing of object types such as INDEX, LOB, TABLE PARTITION, SEQUENCE, and DYNAMIC PACKAGES will remain as NONE.
- All other object types such as Packages, Procedures, Functions, and Synonyms would be converted as Meta Data Link sharing.

## Sharing during upgrade:

Sharing of existing database objects will remain the same.



Below script takes care of converting the modified MDL objects when there is a re-creation [objects with Create or Replace command during creation] happens during patch-set



07\_Object\_Conversion.sql

Input sample for the script:

Spool Path	<< Any local path>>
Approot User (In Caps)	HUBUSER (common user name)

When there are new tables introduced as part of patch-set which has to be converted into DL will be done separately. The recommendation for the same will be provided as part of patch-set instructions for this case.

#### 2.1.2.8 Recompilation of invalids

As the sharing property of most of the objects are modified other than NONE, recompilation of objects is not allowed outside an application.

Recompilation of objects will be initiated inside the application upgrade for sanity with zero invalids with the below script:



08\_Invalids\_Recompilation\_Inside\_Upgrade.sql

## 2.1.2.9 End Application upgrade

Application upgrade can be performed in the application root only and end of the upgrade is performed with an ALTER PLUGGABLE DATABASE APPLICATION END UPGRADE statement.

Run the below script for ending an application upgrade for patch-set.



09\_End\_Upgrade.sql

And run the invalid script by connecting to the common user in approot outside the upgrade.



09\_Invalids\_Recompilation\_Outside\_Upgrade.sql

# 2.2 Synchronize application PDBs

## 2.2.1 Purpose

- Synchronizing an application updates the application in the application PDB to the latest version in the application root. When an application is upgraded in an application root, an application PDB that belongs to the application root is not changed until it is synchronized.
- Application PDBs synchronize with an application by running an ALTER PLUGGABLE DATABASE statement with the SYNC clause.



# 2.2.2 Steps to be followed

# Prerequisites

- > The current user must have ALTER PLUGGABLE DATABASE system privilege.
- > Ensure that the current container is the application PDB.
- > Run an ALTER PLUGGABLE DATABASE APPLICATION statement with the SYNC clause.

Run the below script to synchronize the PDBs with the latest application changes in the application root.





# 3. Step by Step Execution

# 3.1.1 Pre- Requisites

1) Before applying the patch-set, we have to make sure the release is updates with the base version of the patch-set.

For Example, If the first patch-set of 14.2 is yet to applied, the release has to be updated as '14.2.0.0.0'. It can be verified with the below queries

```
select param_name, param_val from CSTB_PARAM WHERE PARAM_NAME = 'RELEASE';
select module group id, release from SMTB MODULES GROUP;
```

2) Another significant parameter is the values of application name and deployment type in CSTB\_PARAM.

This value will be updated from the installer during Approot Object Conversion utility as part of deployment.

select param\_name, param\_val from cstb\_param where PARAM\_NAME in
('MULTI\_TENANT\_APP\_NAME', 'MULTI\_TENANT\_DEPLOYMENT\_MODEL');

The Application name of multi-tenant deployment will be stored in CSTB PARAM as

Param_Name	Param_Val
MULTI_TENANT_APP_NAME	FCUBS

The type of object conversion will be stored in CSTB\_PARAM as

Param_Name	Param_Val	
MULTI_TENANT_DEPLOYMENT_MODEL	SA (or) SAUA (or) SASDD (or) SASDC	

SA  $\rightarrow$  Shared Application

SAUA  $\rightarrow$  Shared Application User Authentication

SASDD → Shared Application Shared Data - Default

SASDC  $\rightarrow$  Shared Application Shared Data – Custom

# 3.1.2 Patch-set Application Step by Step with Screenshots

### Step 1: Start Application upgrade

a. Login into the Approot Schema as Common user.

### b. Run 01\_Start\_Upgrade.sql for initiating the application upgrade.

c. User input has to be inputted for the below:

Spool Path	<< Any local path>>
Application next version	14.2.0.0.1

d. Script will be executed as in the screen shot below and keep the SQL Plus session open for upcoming steps.



C:\app\client\pribalac\product\18.0.0\client\_1\bin\sqlplus.exe

SQL> SPOOL ON SQL> SET SQLBLANKLINES ON SQL> SET SERVEROUTPUT ON SQL> SET ERRORLOGGING ON QL> SET ECHO ON QL> ET ECHO ON QL> prompt Welcome to Application PDB Configuration elcome to Application PDB Configuration QL> SPOOL "&SPOOL\_PATH" nter value for spool\_path: D:\FCUBS\_14.3\Upgrade\_Patchset\_Approach\Documents\Review\Attachment\AVAILS\01Spool.txt OL> DECLARE l\_app\_name l\_app\_currver l\_Sql VARCHAR2(128); VARCHAR2(30); VARCHAR2(256); 4 5 7 8 9 10 11 BEGIN SELECT app\_name
INTO 1\_app\_name
FROM dba\_applications
WHERE app\_implicit <> 'Y'
AND app\_name = (SELECT param\_val FROM cstb\_param WHERE Param\_name = 'MULTI\_TENANT\_APP\_NAME'); 12 13 14 EXCEPTION dbms\_output.put\_line('Error Nodata--->'||SQLERRM); WHEN OTHERS THEN 15 16 17 18 dbms\_output.put\_line('Error others--->'||SQLERRM); END; SELECT MAX(app\_version) INTO l\_app\_currver FROM dba\_app\_versions WHERE app\_name = l\_app\_name; 19 20 21 22 23 24 1\_Sql := 'ALTER PLUGGABLE DATABASE APPLICATION ' || 1\_app\_name||' BEGIN UPGRADE '''|| 1\_app\_currver || ''' TO '''|| '&P\_APPLICATION\_NEXTVER' ||'''; dbms\_output\_put\_line('1\_sql: ' || 1\_Sql); EXECUTE IMMEDIATE 1\_Sql; 25 26 27 1\_Sql := 'ALTER SYSTEM SET DEFAULT\_SHARING = NONE'; dbms\_output.put\_line('1\_sql: ' || 1\_Sql); EXECUTE IMMEDIATE 1\_Sql; 28 29 30 EXCEPTION WHEN OTHERS THEN 32 ٥ C:\app\client\pribalac\product\18.0.0\client\_1\bin\sqlplus.exe WHEN NO\_DATA\_FOUND THEM dbms\_output.put\_line('Error Nodata--->'||SQLERRM); WHEN OTHERS THEN 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 dbms\_output.put\_line('Error others--->'||SQLERRM); SELECT MAX(app\_version) INTO 1\_app\_currver FROM dba\_app\_versions WHERE app\_name = 1\_app\_name; l Sql := 'ALTER PLUGGABLE DATABASE APPLICATION ' || 1\_app\_name||' BEGIN UPGRADE '''|| 1\_app\_currver || ''' TO '''|| '&P\_APPLICATION\_NEXTVER' ||''''; dbms\_output.put\_line('1\_sql: ' || 1\_Sql); EXECUTE IMMEDIATE 1\_Sql; l\_Sql := 'ALTER SYSTEM SET DEFAULT\_SHARING = NONE'; dbms\_output.put\_line('1\_sql: ' || 1\_Sql); EXECUTE IMMEDIATE 1\_Sql; EXCEPTION WHEN OTHERS THEN dbms outp dbms\_output.put\_line('Error --->'||SQLERRM); 34 END; ab / papplication\_nextver: 14.2.0.0.2
inter value for p\_application\_nextver: 14.2.0.0.2
id 24: l\_Sql := 'ALTER PLUGGABLE DATABASE APPLICATION ' || l\_app\_name||' BEGIN UPGRADE '''|| l\_app\_currver || ''' TO '''|| '&P\_APPLICATION\_NEXTVER' ||''';
ew 24: l\_Sql := 'ALTER PLUGGABLE DATABASE APPLICATION ' || l\_app\_name||' BEGIN UPGRADE '''|| l\_app\_currver || ''' TO '''|| '&P\_APPLICATION\_NEXTVER' ||''';
egql: ALTER PLUGGABLE DATABASE APPLICATION FCUBS BEGIN UPGRADE '14.2.0.0.2'
\_sql: ALTER PLUGGABLE DATABASE APPLICATION FCUBS BEGIN UPGRADE '14.2.0.0.1' TO '14.2.0.0.2'
\_sql: ALTER SYSTEM SET DEFAULT\_SHARING = NONE 5QL> QL> SET ERRORLOGGING OFF QL> SPOOL OFF

#### Step 2: Compiling Incremental Units

a. Make sure that the fcubs.properties and env.properties are updated with approot schema details.

b. Run the <Product Processor>DBCompileRun.bat from <Patchset>\INSTALLER\SOFT directory. DDL Compilation, Object Compilation and Static Data load will be done.



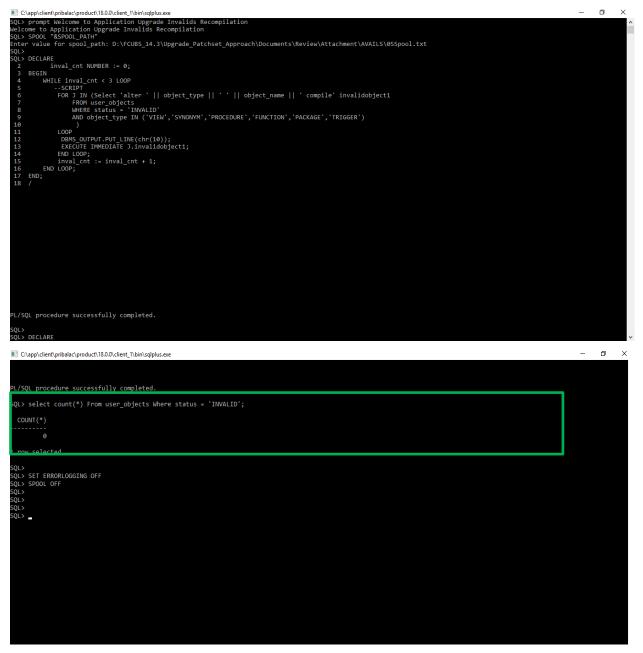
For Example: ROFC INSTALLATION-

First load SMS objects first and then ROFC objects. i.e. Run SMSDBCompileRun.bat and after SMS object loading is completed, then initiate ROFC compilation Run ROFCDBCompileRun.bat

## Step 3: Recompilation of invalids

- a. Login into the Approot Schema as Common user.
- b. Run 03\_Invalids\_Recompilation.sql for recompiling the invalids during application upgrade.
- c. No user input is required for this step.
- d. Script will be executed as in the screen shot below and keep the SQL Plus session open for upcoming steps.

## **Execution Screenshot:**



Step 4: End Application upgrade



- a. Login into the Approot Schema as Common user.
- b. Run 06\_End\_Upgrade.sql for recompiling the invalids during application upgrade.
- c. No user input is required for this step.
- d. Script will be executed as in the screen shot below.

## **Execution Screenshot:**



### Step 5: Start Application upgrade

a. Login into the Approot Schema as Common user.

# b. Run 05\_Start\_Upgrade.sql for initiating the application upgrade.

c. User input has to be inputted for the below:

Spool Path	<< Any local path>>
Application next version	14.2.0.0.1

d. Script will be executed similar to step 1 above and keep the SQL Plus session open for upcoming steps.

### Step 6: Application Root objects conversion for new objects

a. Login into the Approot Schema as Common user.

# b. Run 06\_New\_Object\_Conversion.sql for converting new approot objects added during patch-set as DL

c. User input has to be inputted for the below:

Spool Path	<< Any local path>>
Approot User (In Caps)	HUBUSER (common user name)

d. Script will be executed as in the screen shot below and keep the SQL Plus session open for upcoming steps.



C:\app\client\pribalac\product\18.0.0\client_1\bin\sqlplus.exe		_	ø	×
SQL> prompt Welcome to Application PDB Configuration Welcome to Application PDB Configuration				^
Welcome to Application PD8 Configuration SQL> SPOOL "&SPOOL_PATH" Enter value for spool_path: D:\FCUBS_14.3\Upgrade_Patchset_Approach\Documents\Review\Attachment\AVAILS\02Spool.tx	t			
SQL> SQL> DECLARE				
<pre>l_count NUMBER; Lapp_deployment VARCHAR2(30); BEGIN SELECT count(*) FROM user_objects WHERE sharing = MONEC*to get the new set of DL approot objects if any MID object_ment NV (SELECT DISTINCT a. object_name MID object_ment NV (SELECT DISTINCT a. object_name) MHERE sharing = 'OL' AND OUPPER(object_type) = 'TABLE' AND UPPER(object_type) = 'TABLE' AND EXISTS (SELECT 1 AND (SELECT 1 AND</pre>				
4 BEGIN 5 SELECT count(*) 6 INTO 1_count				
6 INTO l_count 7 FROM user_objects				
8 WHERE sharing - 'NONE'to get the new set of DL approot objects if any 9 AND object_name IN (SELECT DISTINCT a.object_name				
10     FROM cstm_approot_objects_a       11     WHERE sharing - JOL       12     AND UPPER(object_type) = 'TABLE'				
12     AND UPPER(object_type) = 'TABLE'       13     AND EXISTS (SELECT 1				
14 FROM user_objects b 15 WHERE b.object_name = a.object_name)				
16 AND EXISTS (SELECT 1 17 FROM cstm_approot_functions_menu c				
17     FROM cstm_approot functions menu c       18     WHERE c.function id - a.function id       19     AND c.modifiable IN ('Y', 'S')));				
20 dbms_output_line('l_count: '    l_count); 21 IF l_count > 0 THEN				
<pre>22 dbms_output_line('New DL objects are available'); 23 SELECT param_val</pre>				
23 SELECT param val 24 INTO 1_app_deployment 26 WHERE param_name = 'MULTI_TENANT_DEPLOYMENT_MODEL';		FROM cstb_param		
<pre>27 dbms_output_put_line('1_app_deployment: '    1_app_deployment); 28</pre>				
29 IF 1_app_deployment IS NOT NULL AND 1_app_deployment = 'SAUA' THEN 30 UPDATE smtb_menu menu				
31 SET menu_approot_flg = 'Y' 32 WHERE menu_function_id IN				
33         (SELECT function_id           34         FROM cstm_approot_functions_menu           35         WHERE modifiable = 'S'				
35 WHERE modifiable = 'S' 36 UNION				
37 SELECT summary_fn_id 38 FROM cstm_approot_functions_menu 39 WHCRE modifiable = 'S'				
39 WHERE modifiable = 'S' 40 AND summary_fn_id IS NOT NULL)SMS function id 'S'				~
C:\app\client\pribalac\product\18.0.0\client_1\bin\sqlplus.exe		_	٥	×
ction id				^
90 AND 91 )	c.modifiable IN ('Y','S')))			
92 LOOP 93 DBMS OUTPUT.PUT LINE(chr(10));				
94 EXECUTE IMMEDIATE I.sqlobject;				
95 DBMS_OUTPUT.PUT_LINE(I.sqlobject); 96 END LOOP;				
97 EXCEPTION 98 WHEN OTHERS THEN				
99 DBMS_OUTPUT_PUT_LINE('Error>'  SQLERRM); 100 END;				
101 ELSE				
<pre>102 dbms_output.put_line('No new DL objects available'); 103 END IF;</pre>				
104 EXCEPTION 105 WHEN OTHERS THEN				
<pre>106 dbms output.put line('Error&gt;'  SOLERRM);</pre>				
108 /				
inter value for p_approot_user: HUBUSER old 77: FOR I IN (SELECT 'BEGIN '    chr(10)    'DBMS_PDB.SET_DATA_LINKED(''&P_APPROOT_U	SER'''			
<pre>hew 77: FOR I IN (SELECT 'BEGIN '    chr(10)    'DBMS_PDB.SET_DATA_LINKED(''HUBUSER'''</pre>				
count: 1 Wew DL objects are available				
l_app_deployment: SASDD				
EGIN				
DBMS PDB.SET DATA LINKED('HUBUSER','CSTM CHECK2',1);				
XCEPTION HEN OTHERS then				
<pre>bBMS_OUTPUT.PUT_LINE('ERROR -&gt;'   SQLERRM); eND;</pre>				
۲۶ ۲./SQL procedure successfully completed.				
SQL> SQL> SET ERRORLOGGING OFF				
SQL> SPOOL OFF SQL>				
				~

# Step7: Application Root objects conversion for existing objects

a. Login into the Approot Schema as Common user.

# b. Run 07\_Object\_Conversion.sql for initiating the application upgrade.

c. User input has to be inputted for the below:

Spool Path	<< Any local path>>
Approot User (In Caps)	HUBUSER (common user name)

d. Script will be executed as in the screen shot below and keep the SQL Plus session open for upcoming steps.



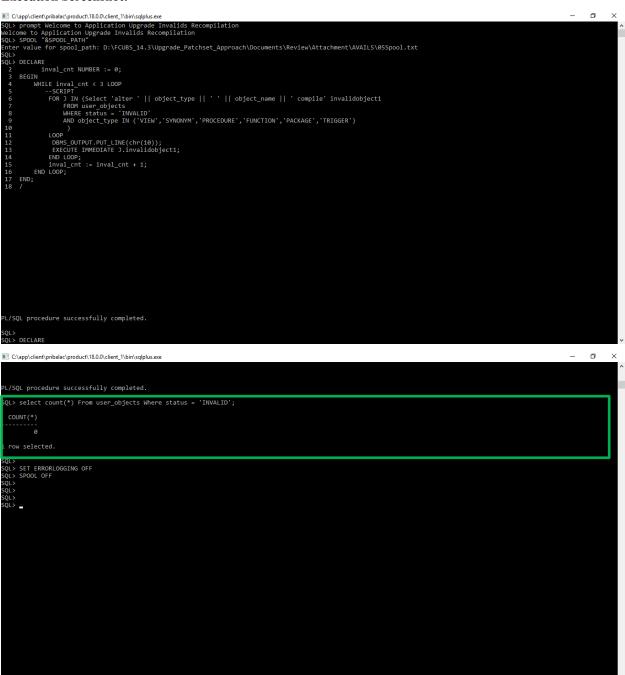


# Step8: Recompilation of invalids

- a. Login into the Approot Schema as Common user.
- b. Run 08\_Invalids\_Recompilation.sql for recompiling the invalids during application upgrade.
- c. No user input is required for this step.
- d. Script will be executed as in the screen shot below and keep the SQL Plus session open for upcoming steps.



# **Execution Screenshot:**



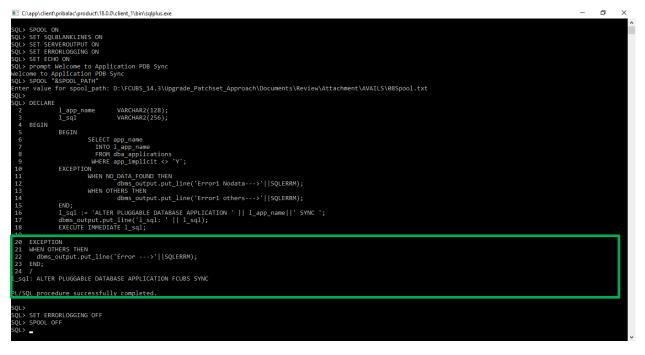
## Step 9: End Application upgrade

- a. Login into the Approot Schema as Common user.
- b. Run 06\_End\_Upgrade.sql for recompiling the invalids during application upgrade.
- c. No user input is required for this step.
- d. Script will be executed as that of step 4.



## Step 10: Synchronize application PDBs

- a. Login into the PDB Schema as Common user. For each PDB, this steps has to be done individually
- b. Run 07\_PDB\_Sync.sql for synching the application upgrade with PDBs.
- c. No user input is required for this step.
- d. Script will be executed as in the screen shot below.







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